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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/667,894	09/22/2000	Larry Scheinberg	11847-002001	1229
26161	7590	09/08/2006	EXAMINER	
FISH & RICHARDSON PC				HAVAN, THU THAO
P.O. BOX 1022				ART UNIT
MINNEAPOLIS, MN 55440-1022				PAPER NUMBER
				3624

DATE MAILED: 09/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/667,894	SCHEINBERG ET AL.	
	Examiner Thu Thao Havan	Art Unit 3624	

All participants (applicant, applicant's representative, PTO personnel):

- (1) Thu Thao Havan. (3) Dennis Maloney.  
 (2) Rich Huang. (4) \_\_\_\_\_.

Date of Interview: 29 August 2006.

Type: a) Telephonic b) Video Conference  
 c) Personal [copy given to: 1) applicant 2) applicant's representative]

Exhibit shown or demonstration conducted: d) Yes e) No.  
 If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1.

Identification of prior art discussed: Mosler (US 6,304,858).

Agreement with respect to the claims f) was reached. g) was not reached. h) N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Discussed prior art in relation to claim 1, in particular the limitation of "determining whether an open position calls for a cash based margin protocol or an asset based margin protocol" Examiner will reconsider upon receiving the amended limitations.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

VINCENT MILLIN  
 SUPERVISORY PATENT EXAMINER  
 TECHNOLOGY CENTER 2860

Examiner Note: You must sign this form unless it is an attachment to a signed Office action.

\_\_\_\_\_  
 Examiner's signature, if required